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Substitute for form 1449B/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number

		NON PATENT LITERAT	URE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
JM	1	BRUNO, N. et al., "Holistic Twig Joins: Optimal XML Pattern Matching", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, pp. 310 - 321, ACM Press, USA.						
JM	. 2	CHAUDHURI, S. et al., "On Relational Support for XML Publishing: Beyond Sorting and Tagging", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, ACM Press, USA.						
JM	3	DAMIANI, E. et al., "A Fine-Grained Access Control System for XML Documents", ACM Transactions on Information and System Security (TISSEC), May 2002, pp. 169 - 202, Volume 5, Issue 2, ACM Press.						
JM	4	DeHAAN, D. et al., "A Comprehensive XQuery to SQL Translation Using Dynamic Interval Encoding", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 623 - 634, ACM Press, USA.						
JM	5	FIEBIG, T. et al., "Algebraic XML Construction and its Optimization in Natix", World Wide Web, January 2002, pp. 167 - 187, Volume 3, Issue 4, Kluwer Academic Publishers, The Netherlands.						
JM	6	FLESCA, S. et al., "A Query Language for XML Based on Graph Grammars", World Wide Web, August 2002, pp. 125 - 157, Volume 5, Issue 2, Kluwer Academic Publishers, The Netherlands.						
JM	7	FUNDERBURK, J. et al., "XML Programming with SQL/XML and XQuery", IBM Systems Journal, October 2002, pp. 642 - 665, Volume 41, Number 4, IBM Corporation, USA.						
JM	8	IVES, Z. et al., "An XML Query Engine for Network-Bound Data", The VLDB Journal - The International Journal on Very Large Data Bases, December 2002, pp. 380 - 402, Volume 11, Issue 4, Springer-Verlag New York, Inc., USA.						
JM	9	JIANG, H. et al., "Path Materialization Revisited: An Efficient Storage Model for XML Data", Australian Computer Science Communications, Proceedings of the 13 <sup>th</sup> Australasian Database Conference, January 2002, pp. 85 - 94, Volume 24, Issue 2, Australian Computer Society, Inc., and the IEEE Computer Society Press, Australia.						
JM	10	LEE, D. et al., "NeT & CoT: Translating Relational Schemas to XML Schemas Using Semantic Constraints", Proceedings of the 11 <sup>th</sup> International Conference on Information and Knowledge Management, November 2002, pp. 282 - 291, ACM Press, USA						
Examiner Signature		/Jay Morrison/	Date Considered	09/25/2006				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute f	or form 1449B/P	то		Complete if Known		
				Application Number	10/656,800	
			CLOSURE	Filing Date	09/05/2003	
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	James Warner	
				Art Unit	2168	
(Use as many sheets as necessary)				Examiner Name	Jay A. Morrison	
Sheet	2	of	2	Attorney Docket Number	Ol7035752001	

		NON PATENT LIT	ERATURE DOCUMENTS	· · · · · · · · · · · · · · · · · · ·				
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JМ	11	LI, C. et al., "Composing XSL Transformations with XML Publishing Views", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 515 - 526, ACM Press, USA.						
JM	12	LI, X. et al., "Compiler Support for Efficient Processing of XML Datasets", Proceedings of the 17 <sup>th</sup> Annual International Conference on Supercomputing, June 2003, pp. 42 - 52, ACM Press, USA.						
JM	13	PAPAKONSTANTINOU, Y. et al., "QURSED: Querying and Reporting Semistructured Data", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA.						
JM	14	SHANMUGASUNDARAM, J. et al., "Efficiently Publishing Relational Data as XML Documents", The International Journal on Very Large Data Bases, September 2001, pp. 133 - 154, Volume 10, Issue 2-3, Springer-Verlag New York, Inc., USA.						
JM .	15	TATARINOV, I. et al., "Storing and Querying Ordered XML: Using a Relational Database System", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA.						
JM	16	WONG, R., "The Extended XQL for Querying and Updating Large XML Databases", Proceedings of the 2001 ACM Symposium on Document Engineering, November 2001, pp. 95 - 104, ACM Press, USA.						
JM	17	YOSHIKAWA, M. et al., "XREL: A Path-Based Approach to Storage and Retrieval of XML Documents Using Relational Databases", ACM Transactions on Internet Technology (TOIT), August 2001, pp. 110 -141, Volume 1, Issue 1, ACM Press, USA.						
JM	18	ZHANG, X. et al., "Honey, I Shrunk the XQuery! - An XML Algebra Optimization Approach", Proceedings of the 4 <sup>th</sup> International Workshop on Web Information and Data Management, November 2002, pp. 15 - 22, ACM Press, USA.						
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